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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/551,017	08/01/2006	Teiko Sutoh	30162/41537	4672	
4735 7599 MARSHALL, GERSTEIN & BORUN LLP 233 SOUTH WACKER DRIVE 6300 SEARS TOWER CHICAGO, IL 60606-6337			EXAM	EXAMINER	
			MCKANE, ELIZABETH L		
			ART UNIT	PAPER NUMBER	
		1797			
			MAIL DATE	DELIVERY MODE	
			09/02/2009	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Application No. Applicant(s) 10/551,017 SUTOH ET AL. Office Action Summary Examiner Art Unit ELIZABETH L. MCKANE 1797 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 17 August 2009. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-3.7.10 and 11 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-3,7,10 and 11 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received.

1) Notice of References Cited (PTO-892)

Notice of Draftsperson's Patent Drawing Review (PTO-948)

Imformation Disclosure Statement(s) (PTC/S5/08)
 Paper No(s)/Mail Date \_\_\_\_\_\_.

Attachment(s)

Interview Summary (PTO-413)
 Paper No(s)/Mail Date.

6) Other:

Notice of Informal Patent Application

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### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 17 August 2009 has been entered.

## Claim Rejections - 35 USC § 103

- The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be neadtived by the manner in which the invention was made.
- Claims 1-3, 7, 10, and 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Patel (WO 00/61200) in view of Kirckof (US 6,488,890).

Patel teaches a plasma sterilization indicator including an adsorption indicator (page 9, lines 5-9) and an organic metal compound (page 12, lines 10-26). In use, an article is placed within a container to which is affixed the indicator. See page 3, lines 21-23 and lines 29-34; page 4, lines 33-34. Patel is silent with respect to the incorporation of a polyvalent alcohol in the indicator but does teach that 'alcohols' are suitable additives to aqueous ink compositions (page 16, lines 1-2) and that the inks can be 'solvent based' (page 16, lines 19-20). Kirckof teaches a plasma sterilization

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indicator composition that can be applied to substrates using known printing processes such as ink jet printing. The composition includes a dye(s), water, and glycol solvents. See Tables 7a and 7b. The glycol solvents used are ethylene glycol and diethylene glycol. See Example 7. It would have been obvious to one of ordinary skill in the art to use a water-soluble polyol as the 'alcohol' of Patel, since Kirckof evidences their use in plasma sterilization indicator ink compositions. The results of using a known polyol solvent in the ink formulation of Patel would have been readily apparent and expected.

Patel further discloses that the adsorption indicator may be hematoxylin (page 10, line 23), eriochrome black T (page 10, line 17), or pyridlazo naphthol (page 11, line 14) and that the organic metal compound may be an aluminum chelate compound (aluminum acetylacetonate). See page 12, line 31. It is well-within the purview of one of ordinary skill in the art to choose from the indicators and activators disclosed by Patel to achieve the desired outcome, depending upon the chosen sterilant for the plasma, as well as the humidity, temperature, and time for sterilization.

## Response to Arguments

 Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ELIZABETH L. MCKANE whose telephone number is Art Unit: 1797

(571)272-1275. The examiner can normally be reached on Mon-Fri; 5:30 a.m. - 2:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on 571-272-1267. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Elizabeth L McKane/ Primary Examiner, Art Unit 1797

elm 30 August 2009